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# Оценка меры для р-адических диофантовых приближений

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#### Аннотация

В статье получена количественная оценка меры множества p-адических чисел, для которых неравенство  $|P(x)|_p < Q^{-w}$  при w > 3n/2 + 2 имеет решение в целочисленных полиномах P степени n и высоты H(P), не превышающей  $Q \in \mathbb{N}$ .

Kлючевые слова: метрические диофантовы приближения, p-адические числа, теорема Спринджука.

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# Measure estimate for p-adic Diophantine approximation

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#### Abstract

A quantitative estimate for the measure of the set of p-adic numbers for which the inequality  $|P(x)|_p < Q^{-w}$  for w > 3n/2 + 2 has a solution in integral polynomials P of degree n and of height H(P) at most  $Q \in \mathbb{N}$ , is established.

Keywords: Metric Diophantine approximation, p-adic numbers, Sprindzuk theorem.

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## 1. Introduction

Let  $n \in \mathbb{N}$ , and  $p \in \mathbb{N}$  be a prime number. Given a polynomial  $P(t) = \sum_{i=0}^{n} a_i t^i \in \mathbb{Z}[t]$  with  $a_n \neq 0$ ,  $\deg P = n$  is the degree of P and H(P) is the height of P, i.e.  $H(P) = \max_{0 \leq i \leq n} |a_i|$ . Denote by  $\mathcal{P}_{\leq n}$  the class of integer polynomials P of degree at most n and  $\mathcal{P}_n$  the class of integer polynomials P of degree n. Throughout this paper  $\mathbb{Q}_p$  denotes the p-adic field with p-adic metric  $|\cdot|_p$  and  $\mathbb{Z}_p = \{x \in \mathbb{Q}_p : |x|_p \leq 1\}$  denotes the p-adic integers. A ball K(a;r) in  $\mathbb{Q}_p$  is defined as

$$K(a;r) = \{x \in \mathbb{Q}_p : |x - a|_p \leqslant r\}.$$

It has diameter diam(K(a;r)) = r and measure  $\mu(K(a;r)) = r$ , where  $\mu$  is the unique Haar measure on the locally compact abelian group  $\mathbb{Q}_p$  such that  $\mu(\mathbb{Z}_p) = 1$ . Let  $\mathbb{Q}_p^*$  be the smallest field containing  $\mathbb{Q}_p$  and all algebraic numbers. In what follows the Vinogradov symbols  $\ll$  and  $\gg$  will be used to avoid specifying unimportant constants  $(f \ll g \text{ means that there exists a constant c such that <math>f \leqslant cg$  with a similar definition for  $f \gg g$ ; if  $f \ll g$  and  $f \gg g$  then we write  $f \asymp g$ .

Given any  $w \in \mathbb{R}^+$ , denote by  $L_n(w)$  the set of  $x \in K$  for which the inequality

$$|P(x)|_p < H(P)^{-w} \tag{1}$$

has infinitely many solutions in polynomials  $P \in \mathcal{P}_{\leq n}$ . Regarding the set  $L_n(w)$  Sprindzuk proved the following statement [16].

Theorem 1. Let w > n + 1. Then  $\mu(L_n(w)) = 0$ .

There are several generalizations of Sprindzuk's result by replacing the RHS in (1) with a monotonically/non-monotonically decreasing function  $\Psi$ , see [2], [5]; by replacing the LHS in (1) with non-degenerate curves/non-degenerate manifolds in higher dimensions, see [1], [14], [15]; by considering simultaneous approximation, see [6],[7],[13]; by considering the inhomogeneous Diophantine approximation in the LHS in (1), see [3], [4].

Given a natural number Q > 1, consider the class of integral polynomials

$$\mathcal{P}_n(Q) = \{ P \in \mathcal{P}_n : H(P) \leqslant Q \}.$$

Let  $K = K(0; p^n) \subset \mathbb{Q}_p$  be a ball of diameter  $p^n$  centered at 0. For  $w \in \mathbb{R}^+$  denote by  $L_n(Q, w)$  the set of  $x \in K$  for which the inequality

$$|P(x)|_p < Q^{-w} \tag{2}$$

has a solution in polynomials  $P \in \mathcal{P}_n(Q)$ . One of the consequences of the Sprindzuk's result is that  $\mu(L_n(Q, w)) \to 0$  for w > n+1 as  $Q \to \infty$ . The main goal of this paper is to obtain a quantitative estimate for the Haar measure of the set  $L_n(Q, w)$ . The first significant contribution to obtaining an effective estimate for the set  $L_n(Q, w)$  was made in [10], and it was shown that  $\mu(L_n(Q, w)) \ll Q^{-(w-n-1)/n}\mu(K)$  for w > n+1.

We now state the main result of this paper, which consists in improving the known measure estimate for the set  $L_n(Q, w)$  in the case when w > 3n/2 + 2.

THEOREM 2. Let  $n \in \mathbb{N}$ ,  $w \in \mathbb{R}^+$  with w > 3n/2 + 2. Then, for any positive real number  $\epsilon$  and sufficiently large Q, we have

$$\mu(L_n(Q, w)) \ll Q^{-(w-2)/n + n\epsilon} \mu(K)$$

where the constant implied by the Vinogradov symbol depends on  $n, w, p, \epsilon$  and K.

Moreover, we expect that the following result to be true.

Conjecture 1. Let  $n \in \mathbb{N}$ ,  $w \in \mathbb{R}^+$  with w > n + 1. Then, for sufficiently large Q, we have

$$\mu(L_n(Q, w)) \ll \begin{cases} Q^{-(w-n-1)}\mu(K) & for \quad n+1 < w \le n+2, \\ Q^{-(w-2)/n}\mu(K) & for \quad w > n+2 \end{cases}$$

where the constant implied by the Vinogradov symbol depends on n, w, p and K.

In relation to a quantitative estimate for an analogue of the set  $L_n(Q, w)$  in  $\mathbb{R}$  see [9], [11].

## 2. Proof of Theorem 2

## 2.1. Outline of the proof

For the polynomials P of degree  $n = \deg P \geqslant 2$  we proceed the proof of Theorem by induction with the following induction hypothesis.

**Induction Hypothesis 1.** For any positive real number  $\epsilon$ , there exist a constant  $f(n, p, K, r, \epsilon)$  depending on n, p, K, r and  $\epsilon$  such that for every  $1 \leq m \leq n-1$  one has

$$\mu(x \in K : \exists P \in \mathcal{P}_m(Q) \ s.t. \ |P(x)|_p < Q^{-r}, \ r > m+1) < f(n, p, K, r, \epsilon)Q^{\frac{-(r-2)}{m} + m\epsilon}\mu(K)$$

for Q sufficiently large.

The base case for m=1 follows from the following result which was proved in [10].

LEMMA 1. Let  $K_0 = K_0(0; p^r) \subset \mathbb{Q}_p$  be a ball of diameter  $p^r$  centered at 0 with  $r \ge 0$ . Define J(Q) to be the set of points  $x \in K_0$  for which the inequality  $|P_1(x)|_p = |ax + b|_p < Q^{-w}$  holds with w > 2 for some  $P \in \mathcal{P}_1(Q)$ . Then  $\mu(J(Q)) \ll Q^{2-w}\mu(K_0)$  for sufficiently large Q.

Next, the proof is divided into two cases: irreducible and reducible polynomials.

## 2.2. Irreducible polynomials

In this section, we consider only irreducible polynomials P from the class of polynomials  $\mathcal{P}_n(Q)$ . Denote by  $L_n^{IRR}(Q, w)$  a set of points  $x \in K$  such that there exists an irreducible polynomial  $P \in \mathcal{P}_n(Q)$  satisfying the inequality  $|P(x)|_p < Q^{-w}$ .

#### 2.2.1. Preliminaries

We will consider only leading polynomials, that is those polynomials  $P \in \mathcal{P}_n$  which satisfy

$$|a_n(P)| \gg H(P), |a_n|_p > p^{-n}.$$
 (3)

It was shown in [16] that if polynomial P does not satisfy the first inequality in (3) then a transformation S(t) = P(t+m) for some  $0 \le m \le n$  can be performed followed by an inversion (if necessary) to obtain  $T(t) = t^n S(\frac{1}{t})$ . Thus, this new polynomial  $T(t) = \sum_{i=0}^n b_i t^i \in \mathbb{Z}[t]$  satisfies  $|b_n| \gg H(T) \approx H(P)$ . These transformations preserve measures (up to a constant) of sets which satisfy inequality of the form (2).

Consider irreducible polynomials  $P \in \mathcal{P}_n$  satisfying (3). Let  $\alpha_1, \alpha_2, \ldots, \alpha_n$  be the roots of the polynomial P in  $\mathbb{Q}_p^*$ . Define the sets

$$S_P(\alpha_i) = \{x \in \mathbb{Q}_p : |x - \alpha_i|_p = \min_{1 \le m \le n} |x - \alpha_m|_p\}, \quad 1 \le i \le n.$$

Further assume without loss of generality that i = 1.

The resultant of  $P(x) = a_n \prod_{i=1}^n (x - \alpha_i)$  and  $Q(x) = b_m \prod_{j=1}^m (x - \beta_j)$  is defined as  $R(P,Q) = a_n^m b_m^n \prod_{1 \le i \le n} \prod_{1 \le j \le m} (\alpha_i - \beta_j)$ , and the discriminant of P is defined as  $D(P) = a_n^{2n-2} \prod_{1 \le i < j \le n} (\alpha_i - \alpha_j)^2$ .

A number of lemmas for later use are now given.

LEMMA 2. Let  $P = \sum_{i=0}^{n} a_i t^i$  be a polynomial with rational integer coefficients. If  $|a_n|_p > c_1$ , where  $c_1$  is a constant depending only on n, then

$$|\alpha_i|_p \leqslant \max(1/c_1, 1)$$

for every root  $\alpha_i$ , i = 1, 2, ..., n, of P.

This is Lemma 2 of [3].

Suppose that  $P \in \mathcal{P}_n$  satisfies (3). From Lemma 2 therefore

$$|\alpha_i|_p < p^n, \quad i = 1, 2, \dots, n. \tag{4}$$

Lemma 3 ([16, 3]). Let  $x \in S_P(\alpha_1)$ . Then

$$|x - \alpha_1|_p \leq |P(x)|_p |P'(x)|_p^{-1} \text{ for } P'(x) \neq 0,$$

$$|x - \alpha_1|_p \leq |P(x)|_p |P'(\alpha_1)|_p^{-1} \text{ for } P'(\alpha_1) \neq 0,$$

and

$$|x - \alpha_1|_p \le \min_{2 \le j \le n} (|P(x)|_p |P'(\alpha_1)|_p^{-1} \prod_{k=2}^j |\alpha_1 - \alpha_k|_p)^{\frac{1}{j}} \text{ for } P'(\alpha_1) \ne 0.$$

LEMMA 4. Let  $K \subset \mathbb{Q}_p$  be a ball and  $B \subset K$  be a measurable set satisfying  $\mu(B) \geqslant m^{-1}\mu(K) > 0$ ,  $m \in \mathbb{N}$ . Assume that for all  $x \in B$  we have  $|P(x)|_p < H(P)^{-a}$ , where a > 0 and  $\deg P \leqslant n$ . Then for all  $x \in K$  we have

$$|P(x)|_p < (pm(n+1))^{n+1}H(P)^{-a}.$$

This is Lemma 5 of [8].

LEMMA 5 ([3]). Fix  $\theta > 0$  and  $Q > Q_0(\theta)$ . Suppose that  $\eta \in \mathbb{R}^+$  and let  $P_1, P_2 \in \mathcal{P}_n(Q)$ . Further suppose that  $P_1, P_2$  have no roots in common. Let J denote a ball with diameter  $Q^{-\eta}$ . If there exists real number  $\tau > 0$  such that for all  $x \in J$ 

$$\max(|P_1(x)|_p, |P_2(x)|_p) < Q^{-\tau},$$

then

$$\tau + 2\max(\tau - \eta, 0) < 2n + \theta.$$

This lemma will be used repeatedly throughout the proof to obtain contradictions.

LEMMA 6 ([10]). Let  $P \in \mathcal{P}_n(Q)$  be an irreducible polynomial satisfying (3). Then

$$|P'(\alpha_1)|_p \gg Q^{-n+1}$$

for sufficiently large Q.

In what follows it is often necessary to compare the value of the derivative of P at the root  $\alpha_1$  with the derivative of P at  $x \in S_P(\alpha_1)$ . The following lemma gives a general result.

LEMMA 7 ([10]). Let  $w_1, w_2 \in \mathbb{R}$  and  $w_1 \geqslant 2w_2$ . Let  $x \in S_P(\alpha_1) \cap K$  for some  $P \in \mathcal{P}_n(Q)$  and suppose that  $|P(x)|_p < Q^{-w_1}$ . If  $|P'(x)|_p > p^{(n-1)^2/2}Q^{-w_2}$  then  $|P'(\alpha_1)|_p = |P'(x)|_p$ . On other hand, if  $|P'(x)|_p \leqslant p^{(n-1)^2/2}Q^{-w_2}$  then  $|P'(\alpha_1)|_p < p^{(n-1)^2/2}Q^{-w_2}$ .

### 2.2.2. Partitioning the roots

Depending on the size of w we consider the partitions of the set  $\mathcal{A}(P)$  of roots of  $P \in \mathcal{P}_n(Q)$ . These partitions are depended of the values of the derivatives of the polynomials at the roots, and are based on Lemma 6 and Lemma 7, and are detailed below:

where C(n,p) arises from Lemma 6, i.e.  $|P'(\alpha)|_p > C(n,p)Q^{-n+1}$ .

Therefore, for each range of w there are the following subdivisions:

$$\begin{array}{ll} for & 3n/2+2 < w \leqslant 2n-2: & \alpha \in T_{0,1} \cup T_{n-1,1} \cup T_{n,1} \cup T_{n+1,1}, \\ for & \max(3n/2+2,2n-2) < w < 2n+2: & \alpha \in T_{0,1} \cup T_{n-1,1} \cup T_{n,2}, \\ for & w \geqslant 2n+2: & \alpha \in T_{0,1} \cup T_{n-1,2}. \end{array}$$

Let  $\sigma(P)$  denote the set of points for which (2) and  $|P'(x)|_p > p^{(n-1)^2/2}Q^{-\frac{w}{2}}$  hold for a fixed polynomial  $P \in \mathcal{P}_n(Q)$ . By Lemma 3, one has the equality  $|P'(\alpha)|_p = |P'(x)|_p$  for  $x \in S_P(\alpha)$  and  $\alpha \in T_{0,1} \cup T_{n-1,i} \cup T_{n,i}$ , i = 1, 2, and the set  $\sigma(P) \cap S_P(\alpha)$  is contained in  $\sigma(P, \alpha)$  which is defined by the inequality:

$$\sigma(P,\alpha) := \{ x \in K \cap S_P(\alpha) : |x - \alpha|_p < Q^{-w} |P'(\alpha)|_p^{-1} \}.$$
 (5)

For  $i \in \{0, n-1, n, n+1\}$  and  $j \in \{1, 2\}$  define the set  $L_{n,i,j}(Q, w)$  of  $x \in K \cap S_P(\alpha)$  for which the system

$$|P(x)|_p < Q^{-w}, \quad \alpha \in T_{i,j}$$

has a solution  $P \in \mathcal{P}_n(Q)$ .

There follow two auxiliary results and several subsections depending on the sizes of derivatives at certain roots.

#### 2.2.3. Auxiliary results

The key ingredients of the proof of the theorem for the cases of large, middle and small derivatives are the following results.

Define the set  $L_n(Q, w, d)$  of  $x \in K \cap S_P(\alpha)$  for which the system

$$|P(x)|_p < Q^{-w}, |P'(\alpha)|_p > cQ^d$$
 (6)

has a solution  $P \in \mathcal{P}_n(Q)$ .

PROPOSITION 1. Let  $d \leq 0$ . If there exist an integer number  $k \in [0, n-1]$  and a real number v satisfying

$$\max(-2d, 2 + \frac{k}{n}(w-2)) \le v \le w - n + k - \frac{w-2}{n}$$
 (7)

then

$$\mu(L_n(Q, w, d)) \leqslant \begin{cases} 2 \cdot 3^{n-k} c_0^{-1} Q^{-(w-2)/n} \mu(K) + k f(k, p, K, w, \epsilon) 2^{-\frac{w-2}{n} + k \epsilon} Q^{-\frac{w-2}{n} + k \epsilon} \mu(K) \\ if \ 1 \leqslant k \leqslant n - 1, \\ 2 \cdot 3^n c_0^{-1} Q^{-(w-2)/n} \mu(K) \\ if \ k = 0 \end{cases}$$

for w > 3n/2 + 2 and sufficiently large Q. Here

$$c_0 = \min(cp^n, \min_{2 \le j \le n} c^{\frac{j}{j-1}} p^{\frac{j(n-1)-n^2}{j-1}}, 2^{-k-1-v} (p(k+1))^{-k-1}).$$

PROOF. Let  $c_0$  be a constant to be chosen later. For a polynomial  $P \in \mathcal{P}_n(Q)$  with  $\alpha$  satisfying (6) define the ball

$$\sigma_0(P,\alpha) = \{ x \in K \cap S_P(\alpha) : |x - \alpha|_p < c_0 Q^{-v} |P'(\alpha)|_p^{-1} \}.$$

Denote by  $R_0(P)$  the set of roots of the polynomial P satisfying the condition  $|P'(\alpha)|_p > cQ^d$ . Let  $\sigma_0(P) = \bigcup_{\alpha \in R_0(P)} \sigma_0(P, \alpha)$ . From (5) and (6) it follows that  $\mu(\sigma_0(P)) \leq \mu(K)$  and  $\sigma(P) \subseteq \sigma_0(P)$  for  $-d \leq v < w$ , and  $c_0 \leq cp^n$  and  $Q > Q_0$ . Also,  $\mu(\sigma(P)) \leq c_0^{-1} Q^{v-w} \mu(\sigma_0(P))$ .

Fix  $k, k \in [0, n-1]$ . For each (n-k)-tuple  $\mathbf{b}_k = (b_n, \dots, b_{k+1}) \in \mathbb{Z}^{n-k}$  such that  $|b_i| \leq Q$  for  $i = k+1, \dots, n$  define the following subclass of  $\mathcal{P}_n(Q)$ 

$$\mathcal{P}_n(Q, \mathbf{b}_k) = \{ P = \sum_{i=0}^n a_i x^i \in \mathcal{P}_n(Q) : a_i = b_i \text{ if } k+1 \le i \le n \}.$$

Note that  $\mathcal{P}_n(Q) = \bigcup_{\mathbf{b}_k} \mathcal{P}_n(Q, \mathbf{b}_k)$  and the number of different vectors  $\mathbf{b}_k$  does not exceed  $(2Q+1)^{n-k}$ .

The balls  $\sigma_0(P,\alpha)$  are divided into essential and inessential domains for  $P \in \mathcal{P}_n(Q, \mathbf{b}_k)$ . First, the essential balls  $\sigma_0(P,\alpha)$  are considered. By definition

$$\sum_{P \in \mathcal{P}_n(Q, \mathbf{b}_k)} \sum_{\alpha \in R_0(P), \ \sigma_0(P, \alpha) \ essential} \mu(\sigma_0(P, \alpha)) \leqslant 2\mu(K).$$

Using this and the fact that the number of different vectors  $\mathbf{b}_k$  does not exceed  $(2Q+1)^{n-k}$ , it follows that

$$\sum_{\mathbf{b}_k} \sum_{P \in \mathcal{P}_n(Q, \mathbf{b}_k)} \mu(\sigma(P)) \leqslant 2 \cdot 3^{n-k} c_0^{-1} Q^{v-w+n-k} \leqslant 2 \cdot 3^{n-k} c_0^{-1} Q^{-(w-2)/n} \mu(K)$$
 (8)

for  $v \le w - n + k - (w - 2)/n$  and  $Q > Q_0$ .

We now turn to the inessential balls. Suppose that  $\sigma_0(P,\alpha)$  is innessential so that there exists  $\bar{P} \in \mathcal{P}_n(Q,\mathbf{b}_k), P \neq \bar{P}$  such that  $\mu(\sigma_0(P,\bar{P})) = \mu(\sigma_0(P,\alpha_1) \cap \sigma_0(\bar{P})) \geqslant \mu(\sigma_0(P,\alpha))/2$ . It can be readily verified that on  $\sigma_0(P,\bar{P})$ 

$$|(j!)^{-1}P^{(j)}(\alpha)(x-\alpha_1)^j|_p < p^{n^2-j(n-1)}c_0^jc^{-j}Q^{(-d-v)j} \leq c_0Q^{-v}, \ 2 \leq j \leq n,$$

for  $v \geqslant -2d$ ,  $d \leqslant 0$ ,  $c_0 \leqslant \min_{2 \leqslant j \leqslant n} c^{\frac{j}{j-1}} p^{\frac{j(n-1)-n^2}{j-1}}$ . Thus, using the Taylor expansion of P in the neighbourhood of  $\alpha$ , it is easy to obtain  $|P(x)|_p < c_0 Q^{-v}$ ,  $x \in \sigma_0(P, \bar{P})$ . Similar estimate holds for  $\bar{P}$  on  $\sigma_0(P, \bar{P})$ .

Put  $R(t) = P(t) - \bar{P}(t)$  so that  $\deg R = n_R \leqslant k$  and  $H(R) \leqslant 2Q$ . Then, by Lemma 4, in  $\sigma_0(P, \alpha_1)$  we have

$$|R(x)|_p < (2p(k+1))^{k+1}c_0Q^{-v}$$
  
=  $2^{k+1+v}(p(k+1))^{k+1}c_0Q_1^{-v}$ 

for  $Q_1 = 2Q$ . Choose  $c_0 \leq 2^{-k-1-\nu}(p(k+1))^{-k-1}$ . Then  $|R(x)|_p < Q_1^{-\nu}$  in  $\sigma_0(P, \alpha_1)$ .

First, consider the case when  $1 \leq n_R \leq k \leq n-1$ . Applying the Induction Hypothesis 1 to polynomials  $R \in \mathcal{P}_{n_R}(Q_1)$ , we obtain

$$\mu(L_{n_R}(Q_1, v)) \leqslant f(n_R, p, K, v, \epsilon) Q_1^{-(v-2)/n_R + n_R \epsilon} \mu(K)$$

for  $v > 3n_R/2 + 2$ .

Second, consider the case when  $n_R \leqslant k = 0$ . From  $|R(x)|_p < Q_1^{-v}$  and  $|R(x)|_p \geqslant |R(x)|^{-1} \geqslant$  $\geqslant (2Q)^{-1}$  since  $R(x) = a_0'$  and  $1 \leqslant |a_0'| \leqslant 2Q$ , we get a contradiction in  $(2Q)^{-1} \leqslant |R(x)|_p < Q_1^{-v} = (2Q)^{-v}$  for  $v \geqslant 1$ .

Therefore, the measure of the set of x belonging to inessential balls does not exceed

$$\mu(\bigcup_{n_R=0}^k L_{n_R}(Q_1, v)) \leqslant \sum_{n_R=1}^k \mu(L_{n_R}(Q_1, v)) 
< \sum_{n_R=1}^k f(n_k, p, K, v, \epsilon) Q_1^{-\frac{v-2}{n_R} + n_R \epsilon} \mu(K) 
\leqslant k f(k, p, K, v, \epsilon) 2^{-\frac{v-2}{k} + k\epsilon} Q^{-\frac{v-2}{k} + k\epsilon} \mu(K) 
\leqslant k f(k, p, K, w, \epsilon) 2^{-\frac{w-2}{n} + k\epsilon} Q^{-\frac{w-2}{n} + k\epsilon} \mu(K)$$

for  $v \ge 2 + \frac{k}{n}(w-2)$  and  $w \ge 3n/2 + 2$ .

This, together with (8) gives

$$\mu(L_{n}(Q, w, d)) \leqslant \mu(\cup_{\mathbf{b}_{k}} \mathcal{P}_{n}(Q, \mathbf{b}_{k}))$$

$$\leqslant \begin{cases} 2 \cdot 3^{n-k} c_{0}^{-1} Q^{-(w-2)/n} \mu(K) + k f(k, p, K, w, \epsilon) 2^{-\frac{w-2}{n} + k \epsilon} Q^{-\frac{w-2}{n} + k \epsilon} \mu(K) \\ for \ 1 \leqslant k \leqslant n - 1, \\ 2 \cdot 3^{n} c_{0}^{-1} Q^{-(w-2)/n} \mu(K) for \ k = 0. \end{cases}$$

Now we are going to prove the second result. Let  $a_i \in \mathbb{R}$  and  $b_i \in \mathbb{R}_{>0}$  for i = 1, 2. Define the set  $L_n(Q, w, a_1, a_2)$  of  $x \in K \cap S_P(\alpha)$  for which the system

$$|P(x)|_p \leqslant Q^{-w}, \ b_1 Q^{a_1} < |P'(\alpha)|_p \leqslant b_2 Q^{a_2}, \ a_1 \leqslant a_2, \ \ w > 3n/2 + 2, \ w \geqslant -2a_1$$
 (9)

has a solution  $P \in \mathcal{P}_n(Q)$ . Let  $\epsilon_0 < \epsilon$  be a sufficiently small positive real number.

Proposition 2. If there exists real number u satisfying

$$\max(0, -a_2 + \epsilon_0, 2n + 3a_2 + \theta + 3\epsilon_0) \le u \le w + a_1 - (w - 2)/n + \epsilon \tag{10}$$

for some values of  $\theta > 0$ , then

$$\mu(L_n(Q, w, a_1, a_2)) \ll Q^{-(w-2)/n+\epsilon}\mu(K)$$

for sufficiently large Q.

PROOF. Divide the ball K into smaller balls  $K_i$  with diameter  $\mu(K_i) = Q^{-u}$ . It is clear that  $\mu(K_i) \leq \mu(K)$  for  $u \geq 0$ , and  $\mu(\sigma(P, \alpha)) \leq \mu(K_i)$  for  $w \geq -2a_1$ ,  $u < w + a_1$  and sufficiently large Q. We say that a polynomial P belongs to  $K_i$  if there exists  $x \in K_i$  such that (9) holds.

If there is at most one irreducible polynomial  $P \in \mathcal{P}_n(Q)$  that belongs to every ball  $K_i$  then by (5) the measure of these x, that satisfy (9), does not exceed

$$nb_1^{-1}Q^{-w-a_1+u}\mu(K) \leqslant nb_1^{-1}Q^{-(w-2)/n+\epsilon}\mu(K)$$
 (11)

for  $u \leq w + a_1 - (w - 2)/n + \epsilon$ .

If at least two irreducible polynomials  $P_i$  of the form  $P_i(t) = s_i P(t)$  for the same  $P, s_i \in \mathbb{Z}$ , belong to the ball  $K_i$  then the measure in this case coincides (up to a constant) with the measure in (11).

Now show that the assumption that at least two irreducible polynomials  $P_1$  and  $P_2$ ,  $P_1 \neq P_2$ , without common roots belong to the ball  $K_i$  will lead to a contradiction. Using Taylor series expansion, it can be readily verified that on  $K_i$ ,

$$|P_i(x)|_p \le \max(b_2 Q^{-u+a_2}, \max_{2 \le j \le n} p^{n(n-j)+j} Q^{-uj})$$
  
=  $b_2 Q^{-u+a_2} \le Q^{-u+a_2+\epsilon_0}$ 

for  $i = 1, 2, a_2 \le 0, u \ge -a_2 + \epsilon_0$  and sufficiently large Q. We now use Lemma 5 with  $\tau = u - a_2 - \epsilon_0$  and  $\eta = u$ . Then,

$$\tau + 2 \max(\tau - \eta, 0) = u - 3a_2 - 3\epsilon_0.$$

From Lemma 5

$$u - 3a_2 - 3\epsilon_0 < 2n + \theta$$

for all  $\theta > 0$  which is a contradiction if  $u \ge 2n + 3a_2 + 3\epsilon_0 + \theta$  for some values of  $\theta$ .  $\square$ 

## 2.2.4. Large derivative

This section deals with the case when the derivatives of the polynomials at the roots are large.

Proposition 3. For sufficiently large  $Q, n \ge 1$  and  $w \ge n+2$ 

$$\mu(L_{n,0,1}(Q,w)) \ll Q^{-(w-2)/n}\mu(K).$$

PROOF. Take d = -1/2 and c = 1 in Proposition 1. Then  $L_{n,0,1}(Q, w) \subseteq L_n(Q, w, -1/2)$ . In this case we choose k = 0 and v = 2. It is easy to check that the conditions (7) are satisfied for  $w \ge n + 2$ , and

$$\mu(L_{n,0,1}(Q,w)) \leq 2 \cdot 3^n c_0^{-1} Q^{-(w-2)/n} \mu(K)$$

for sufficiently large Q, where  $c_0 = \min(\min_{2 \le j \le n} p^{\frac{j(n-1)-n^2}{j-1}}, 2^{-3}p^{-1})$ .  $\square$ 

#### 2.2.5. Special cases n=2 and n=3 for a non-large derivative

This section deals with special cases when the derivatives of the quadratic and cubic polynomials at the roots are taking non-large values.

Case: n=2

Note that the set  $L_2^{IRR}(Q, w) \setminus L_{2,0,1}(Q, w)$  is defined as the set of  $x \in K \cap S_P(\alpha)$  for which

$$|P(x)|_p < Q^{-w}, \ C(2,p)Q^{-1} < |P'(\alpha)|_p \leqslant Q^{1/2}$$

hold for some  $P \in \mathcal{P}_2(Q)$ . To find the estimate of the measure for the last set we will use Proposition 2. Take  $a_1 = -1$ ,  $a_2 = -1/2$ ,  $b_1 = C(2,p)$ ,  $b_2 = 1$  in the Proposition 2. In this case we choose  $u = w/2 + \epsilon$ . It easy to check that the conditions (10) are satisfied for w > 5,  $\epsilon_0 < \epsilon/4$ ,  $\theta \le \epsilon/4$ , and  $\mu(L_2^{IRR}(Q, w) \setminus L_{2.0.1}(Q, w)) \ll Q^{-(w-2)/2+\epsilon}\mu(K)$  for sufficiently large Q.

Case: n=3

Let  $L_3^{IRR}(Q,w) \setminus L_{3,0,1}(Q,w) = L_3'(Q,w) \cup L_3''(Q,w)$ , where the set  $L_3'(Q,w)$  is defined as the set of  $x \in K \cap S_P(\alpha)$  satisfying  $|P(x)|_p < Q^{-w}$ ,  $|Q^{-1}|_p < |P'(\alpha)|_p \le Q^{-1/2}$  for some  $P \in \mathcal{P}_3(Q)$ ; and the set  $L_3''(Q,w)$  consists of  $x \in K \cap S_P(\alpha)$  for which  $|P(x)|_p < Q^{-w}$ ,  $|P(x)|_p < |P'(\alpha)|_p \le Q^{-1}$  hold for some  $|P(x)|_p < |P'(\alpha)|_p < |P'(\alpha)|_$ 

To estimate the measure of the set  $L_3'(Q, w)$  we will use Proposition 1. Take d = -1 and c = 1 in Proposition 1. Then  $L_3'(Q, w) \subseteq L_3(Q, w, -1)$ . In this case we choose k = 0 and v = 2. It is easy to check that the conditions (7) are satisfied for  $w \ge 6.5$ , and

$$\mu(L_3'(Q, w)) \le 54p^5 Q^{-(w-2)/3} \mu(K)$$

for sufficiently large Q.

To estimate the measure of the set  $L_3''(Q, w)$  we will use Proposition 2. Take  $a_1 = -2$ ,  $a_2 = -1$ ,  $b_1 = C(3, p)$ ,  $b_2 = 1$  in the Proposition 2. In this case we choose  $u = 2w/3 - 4/3 + \epsilon$ . It easy to check that the conditions (10) are satisfied for w > 13/2,  $\epsilon_0 < \epsilon/4$ ,  $\theta \le \epsilon/4$ , and  $\mu(L_3''(Q, w)) \ll Q^{-(w-2)/3+\epsilon}\mu(K)$  for sufficiently large Q.

Thus,  $\mu(L_3^{IRR}(Q, w) \setminus L_{3,0,1}(Q, w)) \ll Q^{-(w-2)/3+\epsilon}\mu(K)$  for w > 13/2 and sufficiently large Q. From now on  $n \geq 4$ .

#### 2.2.6. Middle value derivative

This section deals with the case when the derivatives of the polynomials at the roots are taking middle values.

Case 1:  $w \ge 2n + 2$ 

Proposition 4. Let  $n \ge 2$  and  $w \ge 2n + 2$ . For sufficiently large Q

$$\mu(L_{n,n-1,2}(Q,w)) \ll Q^{-(w-2)/n+(n-2)\epsilon}\mu(K).$$

PROOF. Take d=-n+1 and c=C(n,p) in Proposition 1. Then  $L_{n,n-1,2}(Q,w) \subseteq L_n(Q,w,-n+1)$ . In this case we choose k=n-2 and  $v=\frac{(w-2)(n-1)}{n}$ . It is easy to check that the conditions (7) are satisfied for  $n \ge 2$  and  $w \ge 2n+2$ , and

$$\mu(L_{n,n-1,2}(Q,w)) \leq 2 \cdot 3^2 c_0^{-1} Q^{-(w-2)/n} \mu(K) + (n-2) f(n-2, p, K, w, \epsilon) 2^{-\frac{w-2}{n} + (n-2)\epsilon} Q^{-\frac{w-2}{n} + (n-2)\epsilon} \mu(K)$$

for sufficiently large Q, where

$$c_0 = \min(C(n, p)p^n, \min_{2 \le j \le n} (C(n, p))^{\frac{j}{j-1}} p^{\frac{j(n-1)-n^2}{j-1}}, 2^{-n+1-(w-2)(n-1)/n} (p(n-1))^{-n+1}).$$

Case 2: w < 2n + 2

Define the set  $L'_{n,n-1,1}(Q,w)$  of  $x \in K \cap S_P(\alpha)$  for which the system

$$Q^{-1 - \frac{(n-2)(w-2)}{2n}} < |P'(\alpha)|_p \leqslant Q^{-1 - \frac{(n-3)(w-2)}{2n}}, \quad 3n/2 + 2 < w < 2n + 2$$
(12)

has a solution  $P \in \mathcal{P}_n(Q)$ .

Define the set  $L''_{n,n-1,1}(Q,w)$  of  $x \in K \cap S_P(\alpha)$  for which the system

$$Q^{-1 - \frac{(n-3)(w-2)}{2n}} < |P'(\alpha)|_p \leqslant Q^{-\frac{1}{2}}, \ 3n/2 + 2 < w < 2n + 2$$
(13)

has a solution  $P \in \mathcal{P}_n(Q)$ . Then  $L_{n,n-1,1}(Q,w) = L'_{n,n-1,1}(Q,w) \cup L''_{n,n-1,1}(Q,w)$ .

Proposition 5. Let  $n \ge 4$ . For sufficiently large Q

$$\mu(L'_{n,n-1,1}(Q,w)) \ll Q^{-(w-2)/n+\epsilon}\mu(K).$$

PROOF. Take  $a_1 = -1 - \frac{(n-2)(w-2)}{2n}$ ,  $a_2 = -1 - \frac{(n-3)(w-2)}{2n}$ ,  $b_1 = b_2 = 1$  in the Proposition 2.

First, we deal with the case  $n \ge 5$ . In this case we choose  $u = 1 + \frac{(n-3)(w-2)}{2n} + \frac{1}{n} + \epsilon_0$ . It easy to check that the conditions (10) are satisfied for  $n \ge 5$ , w > 3n/2 + 2,  $\epsilon_0 < \min(\epsilon, \frac{1}{2n})$ ,  $\theta \le n - 5 + \frac{1}{n} - 2\epsilon_0$ , and in this case  $\mu(L'_{n,n-1,1}(Q,w)) \ll Q^{-(w-2)/n+\epsilon}\mu(K)$  for sufficiently large Q.

Second, we consider the case when n=4. In this case we choose  $u=2n-3-\frac{3(n-3)(w-2)}{2n}+\frac{1}{n}+\theta$ . Choose  $\epsilon_0<\min(\epsilon,\frac{1}{3n})$ . It easy to check that the conditions (10) are satisfied for n=4, 3n/2+2< w<2n+2, and  $0<\theta\leqslant n-\frac{11}{4}-\frac{1}{n}+\epsilon$ , and in this case  $\mu(L'_{n,n-1,1}(Q,w))\ll Q^{-(w-2)/n+\epsilon}\cdot \mu(K)$  for sufficiently large Q.  $\square$ 

Proposition 6. For sufficiently large Q

$$\mu(L_{n,n-1,1}''(Q,w)) \ll Q^{-(w-2)/n+(n-3)\epsilon}\mu(K).$$

PROOF. Take  $d=-1-\frac{(n-3)(w-2)}{2n}$  and c=1 in Proposition 1. Then  $L''_{n,n-1,1}(Q,w)\subseteq\subseteq L_n(Q,w,-1-\frac{(n-3)(w-2)}{2n})$ . In this case we choose k=n-3 and  $v=2+\frac{(w-2)(n-3)}{n}$ . It is easy to check that the conditions (7) are satisfied for  $w\geqslant 3n/2+2$ , and

$$\mu(L_{n,n-1,1}''(Q,w)) \leqslant 2 \cdot 3^3 c_0^{-1} Q^{-(w-2)/n} \mu(K) + (n-3) \mathit{f}(n-3,p,K,w,\epsilon) 2^{-\frac{w-2}{n} + (n-3)\epsilon} Q^{-\frac{w-2}{n} + (n-3)\epsilon} \mu(K)$$

for sufficiently large Q, where

$$c_0 = \min(p^n, \min_{2 \le j \le n} p^{\frac{j(n-1)-n^2}{j-1}}, 2^{-n-(w-2)(n-3)/n} (p(n-2))^{-n+2}).$$

#### 2.2.7. Small derivative

This section deals with the case when the derivatives of the polynomials at the roots are small.

Proposition 7. Let  $n \ge 3$ . For sufficiently large Q

$$\mu(L_{n,n,1}(Q,w)) \ll Q^{-(w-2)/n+\epsilon}\mu(K).$$

PROOF. Take  $a_1 = -w/2$ ,  $a_2 = -1 - (n-2)(w-2)/(2n)$ ,  $b_1 = p^{(n-1)^2/2}$ ,  $b_2 = 1$  in the Proposition 2. In this case we choose  $u = 1 + \frac{(n-2)(w-2)}{2n} + \epsilon_0$ . It easy to check that the conditions (10) are satisfied for  $n \ge 3$ , w > 3n/2 + 2,  $\epsilon_0 < \min(\epsilon, \frac{1}{3})$ ,  $\theta \le n - 2 - 2\epsilon_0$ , and  $\mu(L_{n,n,1}(Q,w)) \ll Q^{-(w-2)/n+\epsilon}\mu(K)$  for sufficiently large Q.  $\square$ 

Proposition 8. Let  $n \ge 3$ . For sufficiently large Q

$$\mu(L_{n,n,2}(Q,w)) \ll Q^{-(w-2)/n+\epsilon}\mu(K).$$

PROOF. Take  $a_1 = -n+1$ ,  $a_2 = -1 - (n-2)(w-2)/(2n)$ ,  $b_1 = C(n,p)$ ,  $b_2 = 1$  in the Proposition 2. In this case we choose  $u = 1 + \frac{(n-2)(w-2)}{2n} + \epsilon_0$ . It easy to check that the conditions (10) are satisfied for  $n \geq 3$ ,  $w > \max(3n/2 + 2, 2n - 2)$ ,  $\epsilon_0 < \min(\epsilon, \frac{1}{3})$ ,  $\theta \leq n - 2 - 2\epsilon_0$ , and  $\mu(L_{n,n,2}(Q,w)) \ll Q^{-(w-2)/n+\epsilon}\mu(K)$  for sufficiently large Q.  $\square$ 

### 2.2.8. Very small derivative

In this section, we consider the case when the derivative is very small. Recall that  $L_{n,n+1,1}(Q,w)$ is set of  $x \in K \cap S_P(\alpha_1)$  with  $\alpha_1 \in T_{n+1,1}$  for which the system

$$|P(x)|_p < Q^{-w}, |P'(\alpha_1)| \le p^{(n-1)^2/2} Q^{-w/2}$$
 (14)

has a solution in polynomials  $P \in \mathcal{P}_n(Q)$ . Define by  $\sigma^*(P)$  the set of solutions of the system (14) for a fixed polynomial  $P \in \mathcal{P}_n(Q)$ .

Let  $\alpha_1$  be any root of a reducible polynomial  $P \in \mathcal{P}_n(Q)$ . Reorder the other roots of P so that

$$|\alpha_1 - \alpha_2|_p \leqslant |\alpha_1 - \alpha_3|_p \leqslant \ldots \leqslant |\alpha_1 - \alpha_n|_p.$$

For the polynomial P define the real numbers  $\rho_i$  by

$$|\alpha_1 - \alpha_j|_p = Q^{-\rho_j}, \quad 2 \leqslant j \leqslant n, \quad \rho_2 \geqslant \rho_3 \geqslant \dots \geqslant \rho_n.$$
 (15)

Let  $0 < \epsilon_1 < \frac{1}{n^2}$  be sufficiently small, and  $T = [\epsilon_1^{-1}] + 1$ . Also, define the integers  $l_j$ ,  $2 \le j \le n$ , by the relations

$$\frac{l_j - 1}{T} \leqslant \rho_j < \frac{l_j}{T}, \qquad l_2 \geqslant l_3 \geqslant \dots \geqslant l_n \geqslant 0. \tag{16}$$

Finally, define the numbers  $q_i$  by  $q_i = \frac{l_{i+1}+...+l_n}{T}$   $(1 \le i \le n-1)$ . Now for every polynomial P we define a vector  $\mathbf{l} = (l_2, \dots, l_n)$ . The number of different vectors  $\mathbf{l}$  is a constant depending on n, p and  $\epsilon_1$ . Let  $\mathcal{P}_n(Q, \mathbf{l})$  be the class of irreducible polynomials  $P \in \mathcal{P}_n(Q)$  satisfying (3) and corresponding to a vector 1.

For  $k \in \mathbb{N} \cup \{0\}$ , let  $\mathcal{P}_n(Q, \mathbf{l}, k)$  denote the subclass of  $\mathcal{P}_n(Q, \mathbf{l})$  given by

$$\mathcal{P}_n(Q, \mathbf{l}, k) = \{ P \in \mathcal{P}_n(Q, \mathbf{l}) : Q^{k\epsilon_1} \leqslant H(P) < Q^{(k+1)\epsilon_1} \}.$$

Then we have  $\mathcal{P}_n(Q) = \bigcup_{\mathbf{l}} \bigcup_{k=0}^{T-1} \mathcal{P}_n(Q, \mathbf{l}, k)$ . For  $P \in \mathcal{P}_n(Q, \mathbf{l}, k)$  satisfying (3) we have the following estimates

$$|P'(\alpha_1)|_p > p^{-n}Q^{-q_1} \text{ and } |P^{(l)}(\alpha_1)|_p \leqslant Q^{-q_l + (n-l)\epsilon_1}, \quad 1 \leqslant l \leqslant n-1,$$
 (17)

which come from (15)–(16) and

$$P^{(l)}(\alpha_1) = l! a_n(P) \sum_{(j_1, j_2, \dots, j_{n-l}) \subset (2, 3, \dots, n), \ j_s \neq j_k, \ P(\alpha_{j_s}) = 0} \prod_{s=1}^{n-l} (\alpha_1 - \alpha_{j_s}).$$

Also, by (14) and (17), we get  $p^{-n}Q^{-q_1} < |P'(\alpha_1)|_p \leqslant p^{(n-1)^2/2}Q^{-w/2}$ , which implies that

$$q_1 \geqslant w/2 \tag{18}$$

for sufficiently large Q.

We say that  $\mathbf{l} \in G_{-}$  if the following condition  $l_2/T + q_1 \leq n + n^2 \epsilon_1$  holds. Similarly, we say that  $1 \in G_+$  if the condition  $l_2/T + q_1 > n + n^2 \epsilon_1$  holds. Then the set  $L_{n,n+1,1}(Q,w)$  can be written as

$$L_{n,n+1,1}(Q,w) = L_{n,n+1,1}^-(Q,w) \cup L_{n,n+1,1}^+(Q,w),$$

where  $L_{n,n+1,1}^{\mp}(Q,w) = \bigcup_{\mathbf{l}\in G_{\mp}} \bigcup_{k=0}^{T-1} \bigcup_{P\in\mathcal{P}_n(Q,\mathbf{l},k)} \sigma^*(P)$ . To establish this case we need to consider the following two propositions.

Proposition 9. For sufficiently large Q, we have

$$\mu(L_{n,n+1,1}^-(Q,w)) \ll Q^{-(w-2)/n}\mu(K).$$

Proof. Divide the ball K into smaller balls  $K_j$  with  $\mu(K_j) = Q^{-r}$  with  $r = n - q_1 + n^2 \epsilon_1$ . Lemma 5 will now be used to show there cannot exist two irreducible polynomials  $P_1$  and  $P_2$  without common roots which satisfy (14). To show this, suppose that  $P_1, P_2 \in \mathcal{P}_n(Q, \mathbf{l}, k)$  belong to  $I_j, P_1 \neq P_2$ . Develop  $P_1$  as a Taylor series expansion in the neighbourhood  $K_j$  of  $\alpha_1$  to obtain

$$|P_{1}(x)|_{p} \leq \max_{1 \leq j \leq n} |(j!)^{-1} P^{(j)}(\alpha_{1})(x - \alpha_{1})^{j}|_{p} \leq \max(Q^{-q_{1} + (n-1)\epsilon_{1} - r}, \max_{2 \leq j \leq n} p^{j} Q^{-q_{j} + (n-j)\epsilon_{1} - jr}) \leq Q^{q_{1} + (n-1)\epsilon_{1} - r} = Q^{-n - \epsilon_{1}(n^{2} - n + 1)}$$

for  $l_2/T + q_1 \leq n + n^2\epsilon$  and sufficiently large Q. Obviously, the same estimate holds for  $P_2$  on  $K_j$ . Thus, there exist two polynomials  $P_1$  and  $P_2$  of height at most  $Q_4 = Q^{(k+1)\epsilon_1}$  which satisfy

 $|P_i(x)|_p < Q_4^{\frac{-n-\epsilon_1(n^2-n+1)}{(k+1)\epsilon_1}} \text{ on a ball with diameter } Q_4^{\frac{-n+q_1-n^2\epsilon_1}{(k+1)\epsilon_1}}. \text{ Then Lemma 5 can be used with } \\ \tau = \frac{n+\epsilon_1(n^2-n+1)}{(k+1)\epsilon_1} \text{ and } \eta = \frac{n-q_1+n^2\epsilon_1}{(k+1)\epsilon_1}. \text{ Putting these together gives that}$ 

$$\begin{array}{lll} \tau + 2 \max(\tau - \eta, 0) & = & \frac{n + 2q_1 + (n^2 - 3n + 3)\epsilon_1}{(k + 1)\epsilon_1} \\ > & >^{(18)} & \frac{n + w + (n^2 - 3n + 3)\epsilon_1}{(k + 1)\epsilon_1} \\ > & >^{w > \frac{3}{2}n + 2} & \frac{5n/2 + 2 + (n^2 - 3n + 3)\epsilon_1}{(k + 1)\epsilon_1} \\ \geqslant & >^{1 \leqslant k + 1 \leqslant 1 + [\epsilon_1^{-1}] \leqslant 1 + \epsilon_1^{-1}} & \frac{5n/2 + 2 + (n^2 - 3n + 3)\epsilon_1}{1 + \epsilon_1} \\ = & 5n/2 + 2 + (n^2 - 11n/2 + 1)\epsilon_1(1 + \epsilon_1)^{-1} \\ > & < 5n/2 + 2. \end{array}$$

From Lemma 5 this implies that  $5n/2+2 < 2n+\theta$  for all  $\theta > 0$ , and it is not difficult to check that this is a contradiction for  $\theta < \frac{n}{2} + 2$ . Therefore, at most one polynomial  $P \in \mathcal{P}_n(Q, \mathbf{l}, k)$  belongs to each  $K_j$ . Thus, the number of polynomials  $P \in \mathcal{P}_n(Q, \mathbf{l}, k)$  is  $Q^r \mu(K)$ . By applying Lemma 7 and the inequalities (17) and (2), we obtain for  $P \in \mathcal{P}_n(Q, \mathbf{l}, k)$ ,

$$|x - \alpha_1|_p \le |P(x)|_p |P(\alpha_1)|_p^{-1} < p^n Q^{-w+q_1};$$

the latter set is containing the set  $\sigma^*(P) \cap S_P(\alpha_1)$ . Thus, the measure of the set  $L_{n,n+1,1}^-(Q,w)$  for  $P \in \mathcal{P}_n(Q,\mathbf{l},k)$ , is

$$\ll Q^{n-w+n^2\epsilon_1}\mu(K).$$

Summing the last estimate over k and l, we obtain that

$$\begin{array}{ccc} \mu(L_{n,n+1,1}^{-}(Q,w)) & \ll & \sum_{1} \sum_{k=0}^{[\epsilon_{1}^{-1}]} Q^{n-w+n^{2}\epsilon_{1}} \mu(K) \\ & \ll & Q^{-(w-2)/n} \mu(K) \end{array}$$

for  $w > \frac{3}{2}n + 2$ ,  $n \ge 1$  and sufficiently large Q

Proposition 10. For sufficiently large Q, we have

$$\mu(L_{n,n+1,1}^+(Q,w)) \ll Q^{-(w-2)/n}\mu(K)$$

where the constant implied by the Vinogradov symbol depends on  $n, p, \epsilon_1$  and K.

*Proof.* Expressing the discriminant D(P) of an irreducible polynomial  $P \in \mathcal{P}_n(Q, \mathbf{l})$  in the form  $|D(P)|_p = |a_n^{2n-2}(P)|_p \prod_{1 \leq i < j \leq n} |\alpha_i - \alpha_j|_p^2$  and using (16),  $|a_n|_p \leq 1$ , and  $|D(P)| \ll Q^{2n-2}$ , we obtain

$$\sum_{j=2}^{n} (j-1)l_j/T \leqslant n-1 \tag{19}$$

for sufficiently large Q. Using (19) and the definitions of  $q_i$  and the set  $L_{n,n+1,1}^+(Q,w)$ , we get

$$\frac{n+n^2\epsilon_1}{2} + \frac{3q_2}{2} < \frac{q_1+l_2T^{-1}}{2} + \frac{3q_2}{2} \leqslant (l_2/T + q_2/2) + \frac{3q_2}{2} = l_2/T + 2q_2 \leqslant \sum_{j=2}^{n} (j-1)l_j/T \leqslant n-1$$
 (20)

By (20) and using the definitions of  $q_i$ , we obtain

$$2l_3/T + q_2 \leqslant 3q_2 < n - 2 - n^2 \epsilon_1, \tag{21}$$

which implies  $l_3/T < (n-2-q_2-n^2\epsilon_1)/2$ . Therefore, by (20)

$$l_3/T < (n - q_2 + n^2 \epsilon_1)/2 < l_2/T.$$
 (22)

Next we show that there is no pair  $P_1$ ,  $P_2$  of different polynomials in the set  $P \in \mathcal{P}_n(Q, \mathbf{l}, k)$  with roots  $\alpha_1$ ,  $\beta_1$  respectively, satisfying (22) and the inequality

$$|\alpha_1 - \beta_1|_p \leqslant Q^{(q_2 - n - (n^2 - 2)\epsilon_1)/2},$$
 (23)

where  $P_1(\alpha_i) = 0$  and  $P_2(\beta_i) = 0$  for  $1 \le i \le n$ . Assume that there exists such a pair of polynomials. Then, by (22) and (23), we have

$$\begin{aligned} |\alpha_{i} - \beta_{j}|_{p} & \leqslant & \max(|\alpha_{i} - \alpha_{1}|_{p}, |\alpha_{1} - \beta_{1}|_{p}, |\beta_{1} - \beta_{j}|_{p}) \\ & \leqslant & \max(Q^{-l_{\max(i,j)}/T + \epsilon_{1}}, Q^{(q_{2} - n - (n^{2} - 2)\epsilon_{1})/2}) \\ & \leqslant & \begin{cases} Q^{(q_{2} - n - (n^{2} - 2)\epsilon_{1})/2} & for & \max(i, j) \leqslant 2, \\ Q^{-l_{\max(i,j)}/T + \epsilon_{1}} & for & \max(i, j) \geqslant 3. \end{cases} \end{aligned}$$

Considering the resultant  $R(P_1, P_2)$  of the polynomials  $P_1$  and  $P_2$ , we obtain

$$|R(P_1, P_2)|_p = |a_n(P_1)^n|_p |a_n(P_2)^n|_p \prod_{1 \le i, j \le n} |\alpha_i - \beta_j|_p$$
  
$$\leq Q^{2q_2 - 2n - 2(n^2 - 2)\epsilon_1} \prod_{\max(i, j) \ge 3} Q^{-l_{\max(i, j)}/T + \epsilon_1}.$$

But since  $\sum_{\max(i,j)\geqslant 3} l_{\max(i,j)}/T = \sum_{j=3}^n (2j-1)l_j/T \geqslant 5q_2$  it follows that

$$|R(P_1, P_2)|_p \leqslant Q^{-2n-3q_2-n^2\epsilon_1}.$$

Since the polynomials  $P_1$  and  $P_2$  are irreducible then  $|R(P_1, P_2)| \ll Q^{2n(k+1)\epsilon_1}$  and  $|R(P_1, P_2)|_p \gg Q^{-2n(k+1)\epsilon_1}$ . Thus, the inequality (23) leads to a contradiction for  $n \geq 3$  and sufficiently large Q. Therefore we conclude that a ball  $K(\alpha_1; r)$  with its centre at the point  $\alpha_1$ ,  $P_1(\alpha_1) = 0$ , and with diameter r satisfying  $p^{-r_0} \leq r < p^{-r_0+1}$  (with  $r_0 \in \mathbb{Z}$ ) and not exceeding  $cQ^{(q_2-n-(n^2-2)\epsilon_1)/2}$ , cannot contain a root  $\beta_1$  of any polynomial  $P_2 \in \mathcal{P}_n(Q, \mathbf{l}, k)$  (with  $\mathbf{l} \in G_+$ ) other than  $P_1$ . We cover each of the numbers  $\alpha_1$  under consideration by the ball  $K(\alpha_1; r)$ . Thus, we see that these balls are mutually disjoint and have the diameter  $p_1 = Q^{(q_2-n-(n^2-2)\epsilon_1)/2}$ . Therefore the number of polynomials  $P_1 \in \mathcal{P}_n(Q, \mathbf{l}, k)$  with  $\mathbf{l} \in G_+$  is  $p_2 = Q^{(-q_2+n+(n^2-2)\epsilon_1)/2}$ .

By applying Lemma 3, the inequalities (17) and  $|P(x)|_p < Q^{-w}$ , we obtain for  $P \in \mathcal{P}_n(Q, \mathbf{l}, k)$ ,

$$|x - \alpha_1|_p \le (|P(x)|_p |\alpha_1 - \alpha_2|_p / |P'(\alpha_1)|_p)^{1/2} \ll Q^{(-w+q_2)/2}$$

Thus, the measure of the set  $L_{n,n+1,1}^+(Q,w)$  for  $P \in \mathcal{P}_n(Q,\mathbf{l},k)$  with at least one root satisfying (23), will be  $\ll Q^{(n-w+(n^2-2)\epsilon_1)/2}$ . Summing the last estimate over k and  $\mathbf{l}$ , we obtain that

$$\begin{array}{lll} \mu(L_{n,n+1,1}^+(Q,w)) & \ll & \sum_{\mathbf{l}} \sum_{k=0}^{T-1} Q^{(n-w+(n^2-2)\epsilon_1)/2} \\ & \ll & Q^{-(w-2)/n} \mu(K) \end{array}$$

for w > 3n/2 + 2,  $n \ge 4$  and  $\epsilon_1 < 1/n^2$ .

## 2.3. Reducible polynomials

Denote by  $L_n^{RED}(Q, w)$  a set of points  $x \in K$  such that there exists a reducible polynomial  $P \in \mathcal{P}_n(Q)$  satisfying the inequality  $|P(x)|_p < Q^{-w}$ . Let  $P \in \mathcal{P}_n(Q)$  be a reducible polynomial of the form

$$P(x) = P_1(x)P_2(x)$$
, deg  $P_1 = n_1$ , deg  $P_2 = n - n_1$ ,  $1 \le n_1 \le n - 1$ ,

and the inequality  $|P(x)|_p < Q^{-w}$  holds for  $x \in K$ . For a fixed P by  $\lambda(P)$  denote the set of  $x \in K$  satisfying  $|P(x)|_p < Q^{-w}$ .

By Gelfond's lemma [12].

$$2^{-n}H(P_1)H(P_2) \leqslant H(P) \leqslant 2^nH(P_1)H(P_2).$$

By definition of height, we have  $H(P_i) \ge 1$  so that  $H(P_i) \le 2^n Q$  for i = 1, 2.

Define  $L_{n,1}^{RED}(Q,w) \subset L_n^{RED}(Q,w)$  ( $L_{n,2}^{RED}(Q,w)$  respectively) to be the set of points  $x \in K$  for which the inequality  $|P(x)|_p < Q^{-w}$  holds for some reducible polynomial  $P \in \mathcal{P}_n(Q)$  of the form  $P(x) = P_1(x)P_2(x)$  with  $1 \le H(P_1) < Q$  ( $Q \le H(P_1) \le 2^nQ$  respectively).

We need to consider two cases.

## **2.3.1.** Case 1: $1 \le H(P_1) < Q$ .

Let  $\beta \in (0,1)$  be a sufficiently small positive real number such that  $\frac{1}{\beta} \in \mathbb{N}$  and it satisfies the condition that will be specified later. Let the height of  $P_1$  be bounded as follows:  $Q^{m\beta} \leq H(P_1) < Q^{(m+1)\beta}$  where  $0 \leq m \leq \frac{1}{\beta} - 1$ . Then the height of  $P_2$  satisfies  $H(P_2) \leq 2^n Q^{1-m\beta}$ .

There exists  $a \in \mathbb{R}$  such that

$$\mu\left(x \in \lambda(P): |P_1(x)|_p < (2p(n_1+1))^{-n_1-1}Q^{-a}\right) = \mu(\lambda(P))/2. \tag{24}$$

Then for the complement to (24) we have

$$\mu\left(x \in \lambda(P): |P_1(x)|_p \geqslant (2p(n_1+1))^{-n_1-1}Q^{-a}\right) = \mu(\lambda(P))/2$$

or

$$\mu\left(x \in \lambda(P) : |P_2(x)|_p < (2p(n_1+1))^{n_1+1}Q^{-w+a}\right) = \mu(\lambda(P))/2. \tag{25}$$

In the next step of the proof we will use the Lemma 4. By applying Lemma 4 and the estimates (24), (25), we have

$$|P_1(x)|_p < Q^{-a}, \quad Q^{m\beta} \leqslant H(P_1) < Q^{(m+1)\beta},$$
 (26)

$$|P_2(x)|_p < (2p)^{n+2}(n_1+1)^{n_1+1}(n-n_1+1)^{n-n_1+1}Q^{-w+a}, \ H(P_2) \le 2^nQ^{1-m\beta}$$
 (27)

for all  $x \in \lambda(P)$ .

Denote by  $M_{n_1,m}^1(Q)$  a set of points  $x \in K$  such that there exists a polynomial  $P_1 \in \mathcal{P}_{n_1}(Q^{(m+1)\beta}) \setminus \mathcal{P}_{n_1}(Q^{m\beta})$  satisfying the inequality (26) for  $a \geq 2(m+1)\beta + n_1(w-2)/n - d_m\epsilon$  and  $M_{n_1,m}^2(Q)$  a set of points  $x \in K$  such that there exists a polynomial  $P_2 \in \mathcal{P}_{n-n_1}(2^nQ^{1-m\beta})$  satisfying the inequality (27) for  $a < 2(m+1)\beta + n_1(w-2)/n - d_m\epsilon$ . Here  $d_m = 0$  for  $m \geq 2$ , and  $d_m = n_1/2$  for m = 0, 1.

Let us estimate the measure of the set  $M_{n_1,m}^1(Q)$ . For convenience we put  $Q_2 = Q^{(m+1)\beta}$  and  $w_1 = \frac{2(m+1)\beta + n_1(w-2)/n - d_m\epsilon}{(m+1)\beta}$ . Clearly  $M_{n_1,m}^1(Q) \subset L_{n_1}(Q_2, w_1)$ . By the Induction Hypothesis 1 the set  $L_{n_1}(Q_2, w_1)$  has measure at most

$$f(n_1, p, K, w_1, \epsilon)Q_2^{\frac{-(w_1-2)}{n_1} + n_1\epsilon} \mu(K) = f(n_1, p, K, w_1, \epsilon) \left(Q^{(m+1)\beta}\right)^{\frac{-(w_1-2)}{n_1} + n_1\epsilon} \mu(K)$$

$$= f(n_1, p, K, w_1, \epsilon)Q^{-(w-2)/n + d_m\epsilon/n_1 + n_1\epsilon(m+1)\beta} \mu(K)$$

for  $w > \frac{3}{2}n((m+1)\beta + \frac{2d_m\epsilon}{3n_1}) + 2$ , and sufficiently large Q. Therefore, for w > 3n/2 + 2,  $1 \le n_1 \le n - 1$ , and  $Q > Q_0$ , we have

$$L_{n_1}(Q_2, w_1) \leqslant \begin{cases} f(n, p, K, w, \epsilon) Q^{-(w-2)/n + (n-1)\epsilon} \mu(K) \text{ for } 2 \leqslant m \leqslant 1/\beta - 1, d_m = 0, \\ f(n, p, K, w, \epsilon) Q^{-(w-2)/n + (n-1/2)\epsilon} \mu(K) \\ \text{for } m = 0, 1, d_m = n_1/2, \beta \leqslant (3 - \epsilon)/6. \end{cases}$$

Now let us estimate the measure of the set  $M_{n_1,m}^2(Q)$ . We set  $Q_3 = 2^n Q^{1-m\beta}$  and  $w_2 = \frac{w-2(m+1)\beta-n_1(w-2)/n+d_m\epsilon-\beta/2}{1-m\beta}$ . In the view of the definition of the set  $M_{n_1,m}^2(Q)$ , we get

$$|P_2(x)| < Q_3^{-w_2}, \quad H(P_2) \leqslant Q_3$$

for  $Q > Q_0$ . Therefore,  $M_{n_1,m}^2(Q) \subseteq L_{n-n_1}(Q_3, w_2)$ . Then by Induction Hypothesis 1, we obtain

$$\mu(L_{n-n_1}(Q_3, w_2)) < f(n-n_1, p, K, w_2, \epsilon)Q_3^{-\frac{w_2-2}{n-n_1} + (n-n_1)\epsilon} \mu(K)$$

$$= f(n-n_1, p, K, w_2, \epsilon)2^{-\frac{w-2}{1-m\beta} + \frac{5n\beta - 2nd_m\epsilon}{2(n-n_1)(1-m\beta)} + n(n-n_1)\epsilon}.$$

$$\cdot Q^{-\frac{w-2}{n} + \frac{5\beta - 2d_m\epsilon}{2(n-n_1)} + (n-n_1)(1-m\beta)\epsilon} \mu(K)$$

for  $w > 2 + \frac{3n}{2}(1 - m\beta + \frac{5\beta - 2d_m\epsilon}{3(n-n_1)})$  and sufficiently large Q. Thus, for w > 3n/2 + 2,  $1 \le n \le n - 1$ , and  $Q > Q_0$ , we have

$$L_{n-n_1}\left(Q_3,w_2\right)\leqslant \begin{cases} f(n-n_1,p,K,w_2,\epsilon)2^{-(w-2)+n(n-1+\frac{1}{2(n-1)})\epsilon}Q^{-(w-2)/n+(n-1+\frac{1}{2(n-1)})\epsilon}\mu(K)\\ for\ 2\leqslant m\leqslant \frac{1}{\beta}-1,\ d_m=0,\ \beta\leqslant \epsilon/5;\\ f(n,p,K,w,\epsilon)2^{-(w-2)+n(n-1)\epsilon}Q^{-(w-2)/n+(n-1)\epsilon}\mu(K)\\ for\ m=0,1,\ d_m=n_1/2,\ \beta\leqslant \epsilon/5. \end{cases}$$

Combining the conditions imposed on the values of  $\beta$ , we obtain

$$0<\beta\leqslant\min\{\epsilon/5,(3-\epsilon)/6\}.$$

Note that  $L_{n,1}^{RED}(Q,w) \subset \bigcup_{n_1=1}^{n-1} \bigcup_{0 \leqslant m \leqslant \frac{1}{\beta}-1} \left(M_{n_1,m}^1(Q) \cup M_{n_1,m}^2(Q)\right)$ . Adding up the measures over all cases gives that

$$\mu(L_{n,1}^{RED}(Q,w)) \ \ll \ Q^{-\frac{w-2}{n} + (n-\frac{1}{2})\epsilon} \mu(K)$$

for sufficiently large Q.

## **2.3.2.** Case **2**: $Q \leq H(P_1) \leq 2^n Q$ .

We proceed as in Case 1. The height of  $P_2$  satisfies  $H(P_2) \leq 2^n$  and further we proceed as in Case 1. There exists  $a \in \mathbb{R}$  such that

$$\mu\left(x \in \lambda(P): |P_1(x)|_p < 2^{-na}(2p(n_1+1))^{-n_1-1}Q^{-a}\right) = \mu(\lambda(P))/2.$$
(28)

Then using Lemma 4 and the estimate (28), we get

$$|P_1(x)|_p < 2^{-na}Q^{-a}, \quad Q \leqslant H(P_1) \leqslant 2^nQ,$$
 (29)

$$|P_2(x)|_p < 2^{n+2+na}p^{n+2}(n_1+1)^{n_1+1}(n-n_1+1)^{n-n_1+1}Q^{-w+a}, \ H(P_2) \leqslant 2^n$$
 (30)

for all  $x \in \lambda(P)$ .

Denote by  $M_{n_1}^3(Q)$  a set of points  $x \in K$  such that there exists a polynomial  $P_1 \in \mathcal{P}_{n_1}(2^nQ) \setminus \mathcal{P}_{n_1}(Q)$  satisfying the inequality (29) for  $a \ge 2 + n_1(w-2)/n$  and  $M_{n_1}^4(Q)$  a set of points  $x \in K$  such

that there exists a polynomial  $P_2 \in \mathcal{P}_{n-n_1}(2^n)$  satisfying the inequality (30) for  $a < 2 + n_1(w-2)/n$ . Thus

$$L_{n,2}^{RED}(Q,w) \subset \bigcup_{n_1=1}^{n-1} \left( M_{n_1}^3(Q) \cup M_{n_1}^4(Q) \right).$$

Clearly,

$$M_{n_1}^3(Q) \subset L_{n_1}\left(2^nQ, 2 + \frac{n_1(w-2)}{n}\right).$$

By the Induction Hypothesis 1 the set  $L_{n_1}\left(2^nQ,2+\frac{n_1(w-2)}{n}\right)$  has measure at most

$$f(n_1, p, K, w, \epsilon) (2^n Q)^{-(w-2)/n + n_1 \epsilon} \mu(K) \leqslant 2^{-(w-2) + n(n-1)\epsilon} f(n_1, p, K, w, \epsilon) Q^{-(w-2)/n + (n-1)\epsilon} \mu(K)$$

for  $w > \frac{3}{2}n + 2$ ,  $n_1 \leqslant n - 1$  and sufficiently large Q.

To find the measure of the set  $M_{n_1}^4(Q)$  we will use direct calculations. Denote by  $\alpha_1$  a zero of  $P_2 \in \mathcal{P}_{n-n_1}(2^n)$ , and assume that  $\alpha_1$  is such that  $|x - \alpha_1|_p$  is minimal. From the identity  $|P_2(x)|_p = |a_{n-n_1}|_p |x - \alpha_1|_p \dots |x - \alpha_{n-n_1}|_p$  it follows that  $|x - \alpha_1|_p \leqslant \left(\frac{|P_2(x)|_p}{|a_{n-n_1}|_p}\right)^{\frac{1}{n-n_1}}$ . This means that

$$M_{n_1}^4(Q) \subseteq \cup_{P_2 \in \mathcal{P}_{n-n_1}(2^n)} \cup_{\alpha_1 \in \mathcal{A}(P_2)} \sigma_0(P_2, \alpha_1)$$

where

$$\sigma_0(P_2, \alpha_1) := \{ x \in K : |x - \alpha_1|_p \leqslant (|P_2(x)|_p |a_{n-n_1}(P_2)|_p^{-1})^{\frac{1}{n-n_1}} \}.$$

This, together with the estimates (30), gives

$$\sigma_0(P_2,\alpha_1) \subset \{x \in K : |x - \alpha_1| \leqslant (2^{4n+2+n_1(w-2)}p^{n+2}(n_1+1)^{n_1+1}(n-n_1+1)^{n-n_1+1})^{\frac{1}{n-n_1}}Q^{-\frac{w-2}{n}}\}$$

for  $P_2 \in \mathcal{P}_{n-n_1}(2^n)$ , where  $|a_{n-n_1}(P_2)| \leq 2^n$ ,  $|a_{n-n_1}(P_2)|_p \geqslant |a_{n-n_1}(P_2)|^{-1} \geqslant 2^{-n}$ . The number of different polynomials  $P_2 \in \mathcal{P}_{n-n_1}(2^n)$  does not exceed  $(2^{n+1}+1)^{n-n_1+1}$ . Thus,

$$\mu(M_{n_1}^4(Q)) \leqslant \sum_{P_2 \in \mathcal{P}_{n-n_1}(2^n)} \sum_{\alpha_1 \in \mathcal{A}(P_2)} \mu(\sigma_0(P_2, \alpha_1))) \ll Q^{-\frac{w-2}{n}} \mu(K).$$

Therefore, since  $L_n^{RED}(Q,w) = L_{n,1}^{RED}(Q,w) \cup L_{n,2}^{RED}(Q,w)$  we have  $\mu(L_n^{RED}(Q,w)) \ll Q^{-\frac{w-2}{n}+(n-\frac{1}{2})\epsilon}\mu(K)$  for  $w>\frac{3}{2}n+2$  and sufficiently large Q.

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